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Atty. Dkt. No. 047182-0139

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Seamus CURRAN et al.

Title: NANOTUBE BASED NON-LINEAR OPTICS AND METHODS OF MAKING SAME

Appl. No.: 10/537,942

International Filing Date: 12/9/2003
371(c) Date:

Examiner: Unassigned

Art Unit: Unassigned

Conf. No.: 1393

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

Document B4 is the English equivalent of Document B13. English abstracts are provided for non-English patent Documents B13, B16 and B17.

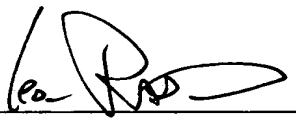
An English translation of Document B30 is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date 3/5/07

By 

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Substitute for form 1449/PTO

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Sheet 1 of 7

Complete if Known	
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Examiner Name	Unassigned
Attorney Docket Number	047182-0139

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	B1	2002/0176650 A1	11/28/2002	Zhao et al.	
	B2	2006/0272701 A1	12/07/2006	Ajayan et al.	
	B3	4,985,528	01/15/1991	Mignani et al.	
	B4	5,075,409	12/24/1991	Barthelemy et al.	
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	B13	EP 401 063 B1	12/05/1990	Rhone Poulenc Chimie		A
	B14	EP 422 500 A2	04/17/1991	The B.F. Goodrich Company		
	B15	EP 445 864 B1	09/11/1991	Akzo Nobel N.V.		
	B16	EP 524 865 B1	01/27/1993	Alcatel NV		A
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	B18	GB 2 246 138 A	01/22/1992	GEC Marconi Limited		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[6]
	B19	Al-Jishi et al., "Lattice-dynamical model for graphite," Phys. Rev. B, October 15, 1982, 26(8), 4514-4522.	
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	B94	Zhou et al., "Modulated Chemical Doping of Individual Carbon Nanotubes," Science, November 24, 2000, 290,1552-1555.	

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